# ALIEN FILES (A-FILES)

National Archives as Kansas City

Elizabeth Carrington, Archivist

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# Overview

- Background Information
- Examples of Basic Content
- Interesting Items
- Record Requests

# BACKGROUND INFORMATION



# What is an A-File?

- The Immigration and Naturalization Service (INS) began issuing each alien an Alien Registration number in 1940, and on April 1, 1944, began using this number to create individual case files, called Alien Files or A-Files.
- A-Files contain all records of any case of an alien not yet naturalized as they passed through the United States immigration and inspection process.



# Why else were A-Files Created?

- An A-File might be created without any action taken by the alien, for example if INS initiated a law enforcement action against or involving an alien.
- In a few instances there are also files on aliens who registered between 1940 and 1944. These files document aliens who received an Alien Registration number and form prior to 1944, and had an A-File created due to the re-opening of the case after 1944. Files from other series, such as visa or registry files, were withdrawn and placed in the A-Files when cases were reopened in instances such as the filing of applications to replace a document, obtain a border crossing card, or petition for an immigrant relative.

## What is the research value of A-Files?

- A-Files are a rich source of biographical information in that they contain relatively modern immigration documents, all in one file. Since the early 20th century the United States collected increasing amounts of information from immigrants and A-Files from midcentury hold a wealth of data, including visas, photographs, applications, affidavits, correspondence, and more.
- Although the files were created beginning in 1944, documents and information included may be much older than that, and could date to the birth of the person. Documents may also be included that date up to the time of any final action related to the alien which could be deportation, permanent resident status, or citizenship.

# Who should have an A-File?

	NO A-number	NO A-File	Likely A-File
Died before Aug 1, 1940	x	X	
Naturalized between Sep 27, 1906 and Aug 1, 1940 (likely a C-File)	X	X	
Naturalized between Aug 1, 1940 and Mar 31, 1956 (likely a C-File)		X	
Registered in 1940 but never came back to INS for any benefit or reason		X	
Registered in 1940 and came back to INS after Apr 1, 1944 for a benefit or some reason other than naturalization			X
Immigrated after Apr 1, 1944 (and did not naturalize prior to Apr 1, 1956)			X
Naturalized on/after Apr 1, 1956			X

# Which A-Files are presently available for research through the National Archives?

- The National Archives at Kansas City presently holds over 350,000 A-File records for individuals who were born 1909 and prior.
- Additional records will be accessioned to our holdings on an annual basis. Each accession will continue to increase the number of records available in the earlier date ranges, while also extending date coverage forward.



# A-Files at San Bruno

- The National Archives at San Francisco will receive an accession of A-Files soon.
- San Bruno will receive some of the A-Files from the USCIS District Offices located in San Francisco, Honolulu, Reno, and Guam.\*

\* The National Archives at Kansas City will also maintain files from these offices, in addition to files from other USCIS district offices across the U.S.

# **A-Files Physical Logistics**

- There are no standard document sizes
  - Document sizes range from small inches square to 11x17 pages
- Files are housed in Letter sized folders in archival FRC boxes
  - The files were recently re-boxed, but will not be re-foldered

# BASIC CONTENT



# **A-Files Content Logistics**

- There are no standard documents that can be found within each file – there are only documents which appear more frequently than others.
- Due to this fact, there are no means of determining what an "average" file would look like. The number/kind of documents in any file is entirely dependent on the kinds of interactions the subject had with INS.

- Files range from 1-2 pages to hundreds of pages

# **A-Files Could Contain:**

- Alien Registration Form and Receipt Card (AR-2 and AR-3)
- Agency Name Check (G-135a)
- Application for Immigrant Visa and Alien Registration
- Address Report Card

# Alien Registration Form (AR-2)

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# Agency Name Check (G-135a)

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## Immigration Visa and Alien Registration

I FOREIGN SERVICE OF THE UNITED STATES OF AMERICA	RRA	
APPLICATION FOR IMMIGRANT VISA AND ALIEN REGISTRATION	I- 678198	Angelina Mustile Mirelito
I, the undersigned, being duly aware, state the following facts regarding myself and hereby make application for the factor for the formation and Nationality Act to the American	(STAL)	(Angelina Mirabito Minabito) 27th day of September 19,55
at Palermo, Italy		B.D. M. I.D.
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# Address Report Card

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# INTERESTING ITEMS



## In Some Cases You May Find:

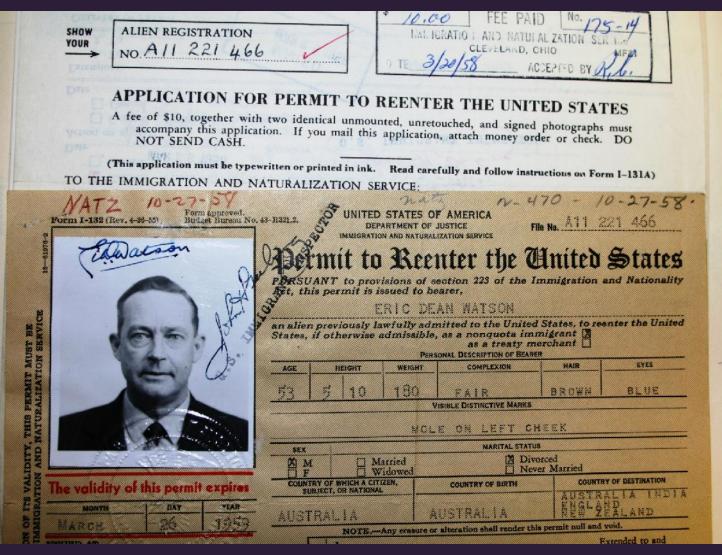
- Birth & Marriage Certificates
- Chinese Exclusion Act files
- Permit to Reenter the United States
- Report of Deportation Proceedings
- Certificate of Exemption from Military Service
- Etc, etc, etc.

# Birth & Marriage Certificate

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# **Application/Permit to Reenter**



# **Selected Miscellaneous**

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ADM 428 (941-95)

made on Form G-18

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Entry subsequent to July 1, 1924, where the documents are in the Central Office and a search of indexes is required:		HOWLO	NG WILL YOU	BEMAIN ABROAD?	A RECEIVED	NAME OF DEPARTING VESSEL OR AIRCRAFT	
1. VISAS The name used at time of arrival, if different from present name.		4/2	8/50	LAST ENTRY IN UNITED	STATES APR 13 1950	MAR 6 1955	
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3. SUSPENSION OF DEPORTATION.—The name under which the record of entry was created and when possible, the <i>Central Office immigration</i> file number.		Form-I-424 U. S. DEP.	ARTMENT	EPORT OF DEPARTUR	E OF ALIEN rm approved dget Bureau No. 43-R259.4.	nc., 165 William St., New York 38, N. Y.	
Entry prior to July 1, 1924, where the record of entry is at the port of arrival.							
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2. REGISTRY. The nume under which the record of entry was created and when available, number shall be given.				IED COPY of a	In FNTRY OF	DEATH.	12
EATION OF RECORDS.—Where record of entry is created under sections 244, 5, 249, or private law: the C-180 shall show "Record created under"				the Births an	Deaths Re	egistration Act, 195	JJ.
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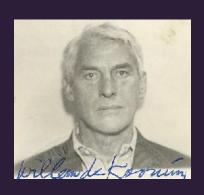
# Naturalization Paperwork

- Additionally, within an A-File you may find:
  - Declaration of Intention
  - Petition for Naturalization (and all related forms)
  - Certificate of Naturalization

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# **Celebrity Files**

- The A-Files series does/will include celebrity files
  - Kansas City has presently located only 1 celebrity file within the first accession: Willem de Kooning





 USCIS has identified celebrities which will appear in upcoming accessions

# RECORD REQUESTS



# How can I request copies of A-Files?

• Send us an e-mail or letter to request records



• We receive requests either by e-mail, postal mail, or fax only.

### How to Request A-Files for On-site Use

- Appointments are required.
- Because these records are housed off-site and are subject to review for privacy concerns under the Freedom of Information Act, researchers must submit a written request at least 3 business days in advance.

# A-Files Fee Schedule

• We are completing a Pilot Fee Schedule program that utilizes the standard NARA fee schedule.

### **Research and Reproduction Fees**

### Mail Order Services

Product/Service	Fee
Minimum Reproductions Mail Order (up to 20 pages)	\$15.00
Paper to paper (up to and including 11 in. by 17 in.) made by NARA	\$0.75 per copy

### **On-Site Service**

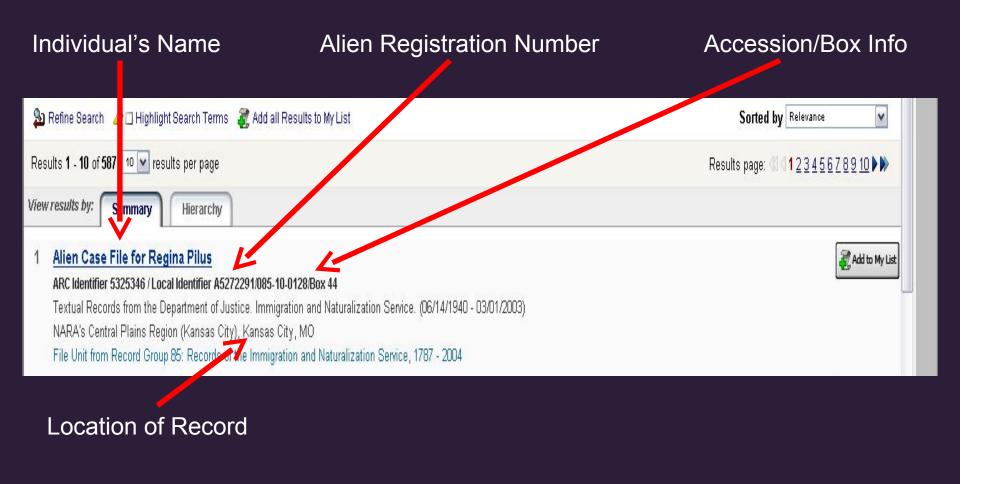
Self-service Product/Service	Fee
Self-service paper to paper copy	\$0.20 per copy

Note: The National Archives at Kansas City accepts credit cards, checks, or money order as payment for services rendered.

# What information do I need to make a record request?

- To make a Record Request you must provide (at minimum):
  - the individual's complete name
  - Archival Research Catalog (ARC) ID number
  - Alien Registration number
- Also helpful to include to aid in locating the file:
  - Accession Number
  - Box Number

# ARC Search Result



# Record Request (cont.)

 To confirm that we have referenced the correct file, it is also helpful to provide other biographical information you may have (i.e. the individual's date and place of birth and date of entry into the United States; names of spouses and/or parents).

## What A-File information can I expect to find in the Archival Research Catalog (ARC)?

- Alien Registration number
- Last Name
- First Name
- Alias
- Date of Birth
- Sex
- Date of Entry
- Country of Birth
- Father's Name
- Mother's Name
- Naturalization Date
- Naturalization Court
- Naturalization Location



# **ARC Entry**

NOTE: Always click on the Scope & Content tab to learn more about the subject of the file.

Description 1 of 587	Descriptions page: 🕼 🖣 <u>2 3 4 5 6 7 8 9 10</u> 🕨 🕨
Alien Case File for Regina Pilus ARC Identifier 5325346 / Local Irentifier A5272291/085-10-0128/Box 44 File Unit from Record Group Re-Records of the Immigration and Naturalization Service, 1787 - 2004	🖂 Email 🧉 Print 🥡 Add to My List
Details         Scope & Content         Archived Copies         Hierarchy           This file consists of an alien case file for Regina Pilus. Date of birth is listed as 01/01/1885. Country is listed as Russia. Alias nam	- is listed as Desire Determine

# Why can't I find my ancestor in ARC?

- If you cannot find your individual in ARC, it is because the National Archives does not currently maintain the record you are seeking.
- To continue your search contact the United States Citizenship and Immigration Service (USCIS) through their Genealogy Program: www.uscis.gov/genealogy. The USCIS continues to maintain all active and inactive A-Files that have not yet been transferred into the holdings of the National Archives.
- The National Archives will accession new A-Files on an annual basis, so you can also continue to check back in ARC to see if your individual has been added to our holdings.

## How do I contact A-Files staff in Kansas City?

• Website:

http://www.archives.gov/research/immigration/aliens/a-files-kansas-city.html

- E-mail: Afiles.KansasCity@nara.gov
- Postal mail:

National Archives at Kansas City Attn: A-Files Request 400 W. Pershing Rd. Kansas City, MO 64108

• Fax: (816) 268-8038





### Alien Registration Forms on Microfilm, 1940-1944

### Background

Alien Registration (as opposed to enemy alien registration) began in August 1940 as a program intended to fingerprint and create a record of every non-citizen within the United States. The original Alien Registration Act of 1940 was a national security measure, and directed the Service to fingerprint and register every alien age 14 and older living within and entering the United States. The 1940 Act directed that a specific form be developed for the Alien Registration program. INS then introduced the Alien Registration Form (AR-2), a form individually stamped with a unique Alien Registration Number. Forms AR-2 date from August 1, 1940 to March 31, 1944.

### The Forms Today

Alien Registration Forms completed between August 1940 and March 1944 were microfilmed and the originals forms were destroyed. USCIS maintains this microfilm containing 5,665,983 Alien Registration Forms (AR-2). The microfilmed forms are technically not part of the A-File series. Alien Registration Forms available from this series are as follows

A1000000 to A5980116	Resident aliens and Delayed registration, Aug 1940-Mar 1944
A6100000 to A6132126	Railroad workers, 1942-1943
A7000000 to A7043999	Children under 14 years of age, resident in US (not all children were registered), Aug 1940-Mar 1944
A7500000 to A7759142	Newly arriving immigrants (Consular registrations), Aug 1940-Mar 1944

Alien Registration forms on microfilm are arranged in numerical order, and are indexed by name, date of birth, and place of birth.

#### **Research Value**

Though not official arrival records, Alien Registration Forms dating from the early 1940's may be useful in documenting an individual's presence in the United States. Many resident aliens registered under the Alien Registration Act during 1940-1941 had been living in the United States for decades. Some arrived as early as the 1880's and the Alien Registration form is the agency's only record of that immigrant.

Form AR-2 asked for the information listed below. Researchers must remember that not all registrants provided all information.

- Name
- Name at time of entry to the US
- Other names used
- Address
- Date of Birth
- Citizenship/Nationality
- Gender
- Marital Status
- Race

- Height & Weight
- Hair & Eye Color
- Port, date, ship and class of admission at last arrival in US
- Date of first arrival in US
- Years lived in US
- Intended stay in US
- Usual occupation
- Present occupation
- Present employer, including address
- Club, organization, or society memberships
- Military service (Country, branch, dates)
- Date and number of Declaration of Intention (if filed), and city and State where filed.
- Date of Petition for Naturalization (if filed), and city and State where filed.
- Arrest history
- Fingerprint
- Signature
- Date and place of registration

### **Index Search Issues**

Alien Registration forms were indexed in a way to include up to three names for each individual (aliases, alternate spellings, maiden names)--but only if the immigrant provided more than one name on the form. AR-2 index entries also include an exact date of birth (if given on the form) and place of birth (if given).

To differentiate between individuals of the same name and date of birth in AR-2 records, the most useful information is the immigrant's residence ca. 1940 (State, county, town) and/or their occupation. Family member names do not appear on the forms.

### **Record Request Issues**

One challenge surrounding Alien Registration Numbers (A-numbers) is determining whether the number corresponds only to an AR-2 form, or whether there is an additional A-File jacket identified by the same A-number. A-numbers in the AR-2 Form range, the majority numbered from 1 million to approximately 5.6 million, may or may not relate to a physical A-File. In other words, the existence of an A-number from the early 1940's does not guarantee the existence of an A-File.

A second Forms AR-2 challenge is producing the best possible image of the record from aging microfilm. A number of factors determine the quality of any given copy, including quality of the microfilm, reader/printer machine function, and the skill or determination of the machine operator. The USCIS Genealogy Program is committed to producing the best possible image of Alien Registration Forms AR-2. In addition, the Genealogy Program is investigating methods for the future reproduction and delivery of enhanced digital images taken from the Alien Registration Forms on microfilm.

A third challenge for researchers is distinguishing the A-number from other USCIS file numbers. One series of derivative C-File numbers are also prefixed with an "A" (learn more about C-Files on the "Historical Records Series" page). Other numbers appear on other records, such as ship passenger manifests, and it is dangerously tempting to add an "A" to the number and assume it is an A-number.

### Where do I find an Alien Registration Number?

If the immigrant later naturalized between ca. 1942 and 1956, you may find the A-number at the bottom of the naturalization index card maintained by the naturalization court. If that number is below ca. 5.6 million, there should be a corresponding Form AR-2. If the immigrant did not later naturalize, you may find the number on or among the immigrant's personal papers.

The best way to identify the correct A-number is to submit a Genealogy Index Search Request on form G-1041.

http://www.uscis.gov/portal/site/uscis/menuitem.eb1d4c2a3e5b9ac89243c6a7543f6d1a/?vgnextoid=88ef299f81bb8210VgnVCM100000082ca60aRCRD&vgnextchannel=88ef299f81bb8210VgnVCM100000082ca60aRCRD